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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Esmond *et al.*

Appl. No. 09/394,712

Filed: Sept. 13, 1999

For: **Method for Treating or  
Preventing Alzheimer's Disease**



Confirmation No.

Art Unit: 1614

Examiner: Kim, V.

Atty. Docket: 0609.4440002

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### Third Supplemental Information Disclosure Statement

Commissioner for Patents  
Washington, D.C. 20231

Sir:

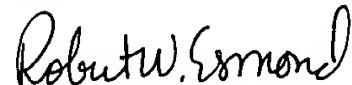
Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Third Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' First Supplemental Information Disclosure Statement filed on February 25, 2000, in connection with the above-captioned application. A copy of each document is also provided.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

Consideration of the cited documents and making the same of record in the prosecution of the above-identified application is respectfully requested.

Respectfully submitted,



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Date: April 3, 2001

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